

In re application of: Childress et al.

§ Group Art Unit: 2171

Serial No.: 10/753,817

§ Examiner: Unknown

Filed: January 8, 2004

§ Attorney Docket No.: AUS920030939US1

For: Method and Apparatus for

Supporting Transactions

Certificate of Mailing Under 37 C.F.R. § 1.8(a) I hereby certify this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to:

Commissioner for Patents, P.O. Box 1450, Alexandria, \$\forall A \quad 22313-1450 on \$\forall X 19 2005

By:

TRANSMITTAL DOCUMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

ENCLOSED HEREWITH:

- Copy of International Search Report;
- Supplemental Information Disclosure Statement;
- Form PTO-1449;
- References AD-AE; and
- Our return postcard.

No fees are believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to Deposit Account No. 09-0447.

Respectfully submitted,

Duke W. Yee

Registration No. 34,285

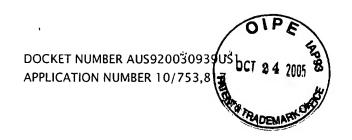
YEE & ASSOCIATES, P.C.

P.O. Box 802333

Dallas, Texas 75380

(972) 385-8777

ATTORNEY FOR APPLICANTS



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Childress et al.

Serial No: **10/753,817**

Filed: January 8, 2004

Title: Method and Apparatus for

Supporting Transactions

Group Art Unit: 2171

Intellectual Property Law Department International Business Machines Corporation 11400 Burnet Road Austin, Texas 78758

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. 1.56.

While this Supplemental Information Disclosure Statement may be "material" pursuant to 37 C.F.R. 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. 1.97(g) the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (b) exists.

DOCKET NUMBER AUS9200309390\$1 APPLICATION NUMBER 10/753,817

The patents and/or publications submitted herewith are set forth on the attached form, PTO-1449.

Each item of information cited in this Supplemental Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Supplemental Information Disclosure Statement. No fee is believed to be required. In the event a fee is required in connection with the enclosed Supplemental Information Disclosure Statement, the Commissioner of Patents and Trademarks is authorized to charge Deposit Account No. 09-0447 for the necessary amount.

Respectfully submitted,

Duke W. Yee

Registration No. 34,285

Yee & Associates, P.C. P.O. Box 802333

Dallas, Texas 75380

Telephone: (972) 385-8777

PTO/SB/(08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office. U.S. DEPARTMENT OF COMMERCE t of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known Substitute for form 1449/F 10/753,817 Application Number Jan. 8, 2004

INFORMATION STATEMENT BY

Examiner

Initials

AA AB

AC

| | { | ~ ~ S | i riing Date | | Jan. 8, 2004 | | |
|--|-------------------|--------------------------------|--|---------------|---|---|--|
| ORMATION DISCLOSURE ATEMENT BY APPLICANT TO SELECTION OF THE PROPERTY OF THE P | | | First Named Inventor | | Childress et al. | | |
| | | | Examiner Name Art Unit Attorney Docket No. | | Unknown | | |
| | | | | | 2171 AUS920030939US1 | | |
| | | | | | | | |
| | Document Number | Publication Date MM-DD-YYYY | | | e of Patentee or t of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | |
| | US2003/0005092 A1 | Jan. 2, 2003 | | Nelson et al. | | | |
| | US 5,835,720 | Nov. 10, 1998 | | Nelson et al. | | | |
| US 6,473,802 B2 Oct. 29, 2002 | | Masters | | | | | |
| 1 | | | | | | | |

| | FOREIGN PATEN | T DOCUMENTS | |
|-------------------------|-------------------------------------|--|---|
| Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| WO 00/05594 | Feb. 3, 2000 | Conduct Ltd. | 1 |
| EP 0918412 A2 | May 26, 1999 | Hewlett-Packard Company | |
| | Foreign Patent Document WO 00/05594 | Foreign Patent Document Publication Date MM-DD-YYYY WO 00/05594 Feb. 3, 2000 | Foreign Patent Document Publication Date MM-DD-YYYY WO 00/05594 Feb. 3, 2000 Conduct Ltd. |

| NON PATENT LITERATURE | | | | | | |
|-----------------------|---|--|--|--|--|--|
| Examiner Initial | Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

Date Considered: Examiner:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.